



FORM 3(a)

FINANCIAL SERVICES TRIBUNAL

Regarding

And

SUMMONS TO A WITNESS BEFORE the Financial Services Tribunal

**TO: [name]
[address]**

YOU ARE REQUIRED TO ATTEND TO GIVE EVIDENCE at the hearing of this proceeding on

_____, _____ at, _____ at, _____

and to remain until your attendance is no longer required.

YOU ARE REQUIRED TO BRING WITH YOU and produce at the hearing the following documents and things: all books, papers, letters, notes or copies thereof and any other writings, and documents in your possession or power containing any entry, memorandum or minutes relating to this matter. _____

IF YOU FAIL TO ATTEND OR TO REMAIN IN ATTENDANCE AS THIS SUMMONS REQUIRES, THE SUPERIOR COURT OF JUSTICE MAY ORDER THAT A WARRANT FOR YOUR ARREST BE ISSUED, OR THAT YOU BE PUNISHED IN THE SAME WAY AS FOR CONTEMPT OF THAT COURT.

DATE _____

**Signature on behalf of Financial
Services Tribunal**

Note: You are entitled to be paid the Attendance Fees for Witnesses in accordance with Appendix B of the *Rules of Practice and Procedure For Hearings Before The Financial Services Tribunal*.