



# Application for Party Status

(for hearings before the Financial Services Tribunal)  
(Form 4)

**Financial  
Services  
Tribunal**

A person who is interested in actively participating as a party in a proceeding before the Tribunal must complete and file this form with the Registrar, Financial Services Tribunal, 5160 Yonge Street, Box 85, 14<sup>th</sup> Floor, Toronto, Ontario M2N 6L9 or by fax to (416) 226-7750. The Tribunal will then decide whether party status will be granted, either unconditionally or subject to some limitations on participation in the proceeding. The factors that the Tribunal may consider in deciding whether to grant party status are set out in Rule 38.04 of the *Rules of Practice and Procedure for Proceedings Before the Financial Services Tribunal*.

Personal information requested is collected under the authority of the *Financial Services Commission of Ontario Act, 1997*. This information will be used for the purposes of the proceeding and will be available to all parties to the proceeding and will become part of the public record. All questions about this collection may be directed to the Registrar, Financial Services Tribunal.

<b>Matter</b>	Tribunal File No.

<b>Applicant for Party Status - Name and Address</b>				
Mr. <input type="checkbox"/>	Mrs. <input type="checkbox"/>	Ms. <input type="checkbox"/>	Last Name	First Name
Name of Company or Organization				
Street Address				
City	Province	Postal Code	Phone No.	
E-Mail:			Fax No.	

<b>Applicant's Representative (if any)</b>				
Mr. <input type="checkbox"/>	Mrs. <input type="checkbox"/>	Ms. <input type="checkbox"/>	Last Name	First Name
Firm				
Street Address				
City	Province	Postal Code	Phone No.	
E-Mail:			Fax No.	

**Interest in Proceeding**

State your interest in the proceeding and indicate in what respects you intend to support the position of the party who initiated the proceeding and in what respects you intend to support the responding party or parties in the proceeding.

Additional sheets attached.

**Nature of Party Status Sought**

Indicate whether full or limited party status is sought and indicate the level and nature of your proposed participation in the proceeding.

Additional sheets attached.

**Relief Sought**

Indicate the nature of any relief you are seeking in the proceeding.

Additional sheets attached.

## Submissions

Indicate the reasons why you think you should be granted party status in the proceeding.

Additional sheets attached.

## Documents

List any documents you are relying on for this application.

Additional sheets attached.

## Signature

Name (Please Print):

Title:

Applicant

Representative

Date:

Signature: